

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	) Attorney Docket No.: <b>MIKI0004</b>
Keiichiro OISHI	) Confirmation No.: Unknown
	) Group Art Unit: Unassigned
Serial No.: Unassigned	) Examiner: Unassigned
Filed: June 27, 2006	) Date: June 27, 2006
For: COPPER-BASED ALLOY CASTING	)
IN WHICH GRAINS ARE REFINED	)

**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP: PCT**

United States Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, this  
Information Disclosure Statement in connection with the above-identified application is  
being filed in accordance with 37 C.F.R. §1.97(b):

- within three months of the filing date of this application (not a C.P.A.);
- X** within three months of the date of entry of the National Stage;
- before the mailing date of a first Office Action on the merits; or
- before the mailing of a first Office Action on the merits of, after the filing of a  
Request for Continued Examination (RCE) under §1.114.

Attorney Dkt. No. MIKI0004  
Serial No. Unassigned

A copy of each non-U.S. document identified on the attached Forms PTO/SB/08A and PTO/SB/08B is attached.

Attached is a copy of the Search Report issued in the corresponding Japanese application. Since all of the documents cited herein were cited in said Search Report, it is believed that the relevancy of each document cited is clear from the Search Report. Accordingly, no further comment with regard to the disclosures of these documents is believed to be required.

It is respectfully requested that the attached documents be considered and officially cited, and that the Examiner initial a copy of Forms PTO/SB/08A and PTO/SB/08B, and return them to the undersigned to indicate that the documents have been considered.

It is believed that the present Information Disclosure Statement complies with the requirements of 37 C.F.R. §§ 1.97-8, but should the filing of this paper necessitate a fee, the Director is hereby authorized to charge the necessary fee to Deposit Account No. 50-1281.

Respectfully submitted,

*GRIFFIN & SZIPL, PC*

  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10/596849
Filing Date	2006-06-27
First Named Inventor	Keiichiro OISHI
Art Unit	1793
Examiner Name	S. Ip
Attorney Docket Number	MIKI0004

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/S.I./	1	2004-183056	JP	A	2004-07-02	SANBO COPPER ALLOY CO LTD		<input checked="" type="checkbox"/>
/S.I./	2	<del>2002-03084</del> 2002-030364	JP	A	2002-01-31	SUMITOMO LIGHT METAL IND LTD; SHINNITTO KINZOKU		<input checked="" type="checkbox"/>
/S.I./	3	2001-247923	JP	A	2001-09-14	SANBO COPPER ALLOY CO LTD		<input checked="" type="checkbox"/>

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/S.I./	4	02-170954	JP	A	1990-07-02	NIPPON MINIG CO LTD	<input checked="" type="checkbox"/>
/S.I./	5	04-224645	JP	A	1992-08-13	NIKKKO KYODO CO LTD	<input checked="" type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
/S.I./	1	International Search Report Issued in the corresponding application PCT/JP2005/008662; Completed July 21, 2005 and Mailed August 9, 2005.	<input checked="" type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Sikyln Ip/	Date Considered	10/13/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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Art Unit		
Examiner Name		
Attorney Docket Number	MIKI0004	

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Joerg-Uwe Szpil	Date (YYYY-MM-DD)	2006-06-27
Name/Print	Joerg-Uwe Szpil	Registration Number	31799

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.